

# The Impact of Molecular Diagnostics on Contemporary Cancer Management

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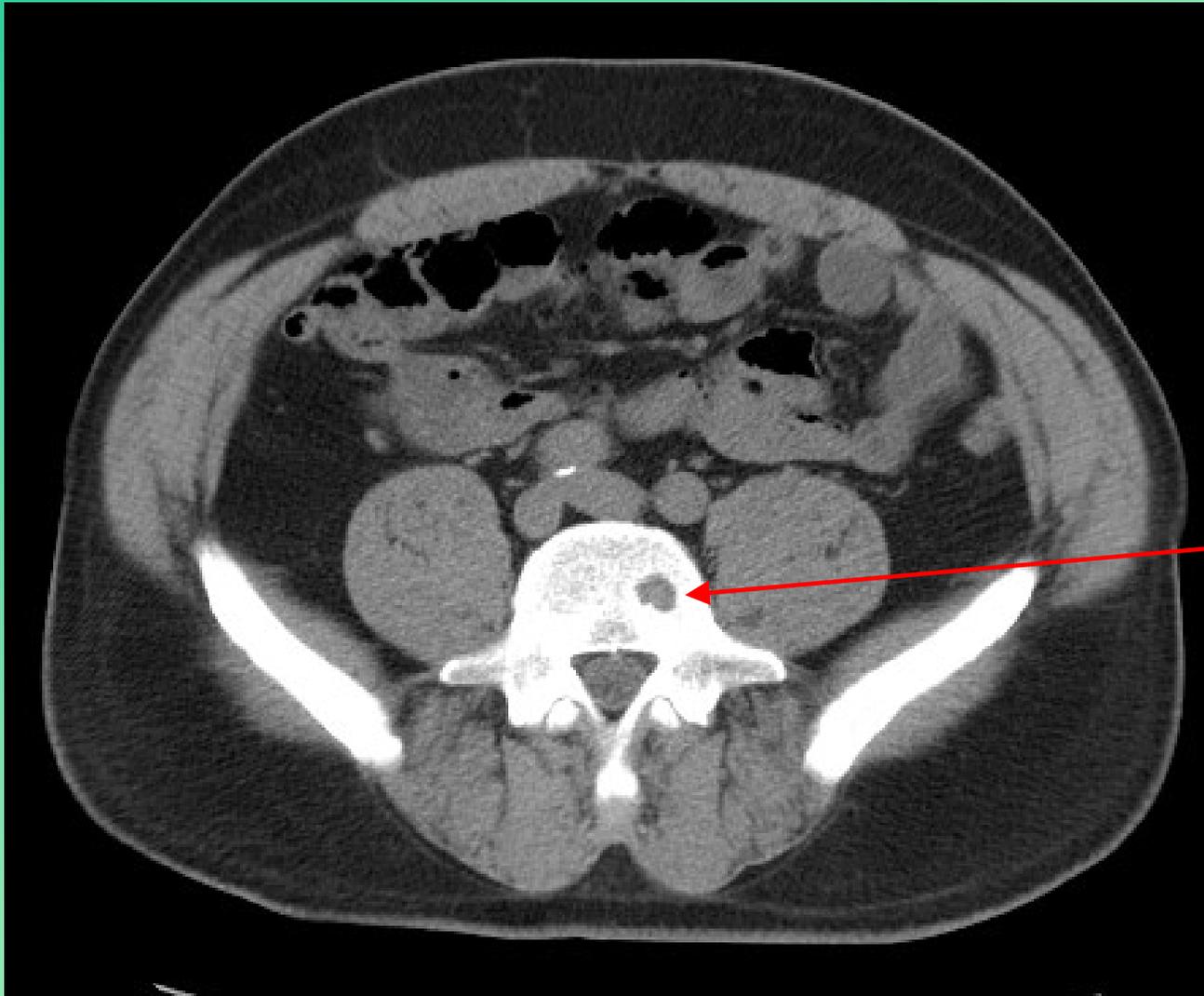


# Case Presentation

- 54 y.o. man presented in February, 2009, with widespread lytic lesions of bone – referred as possible multiple myeloma
- Only relevant history is strong family history of prostate cancer
- CBC and all chemistries normal
- While waiting for initial lab workup to return he developed symptoms of impending spinal-cord compression with mild leg weakness and urinary retention
- Admitted to the hospital for emergency decompression and tissue diagnosis



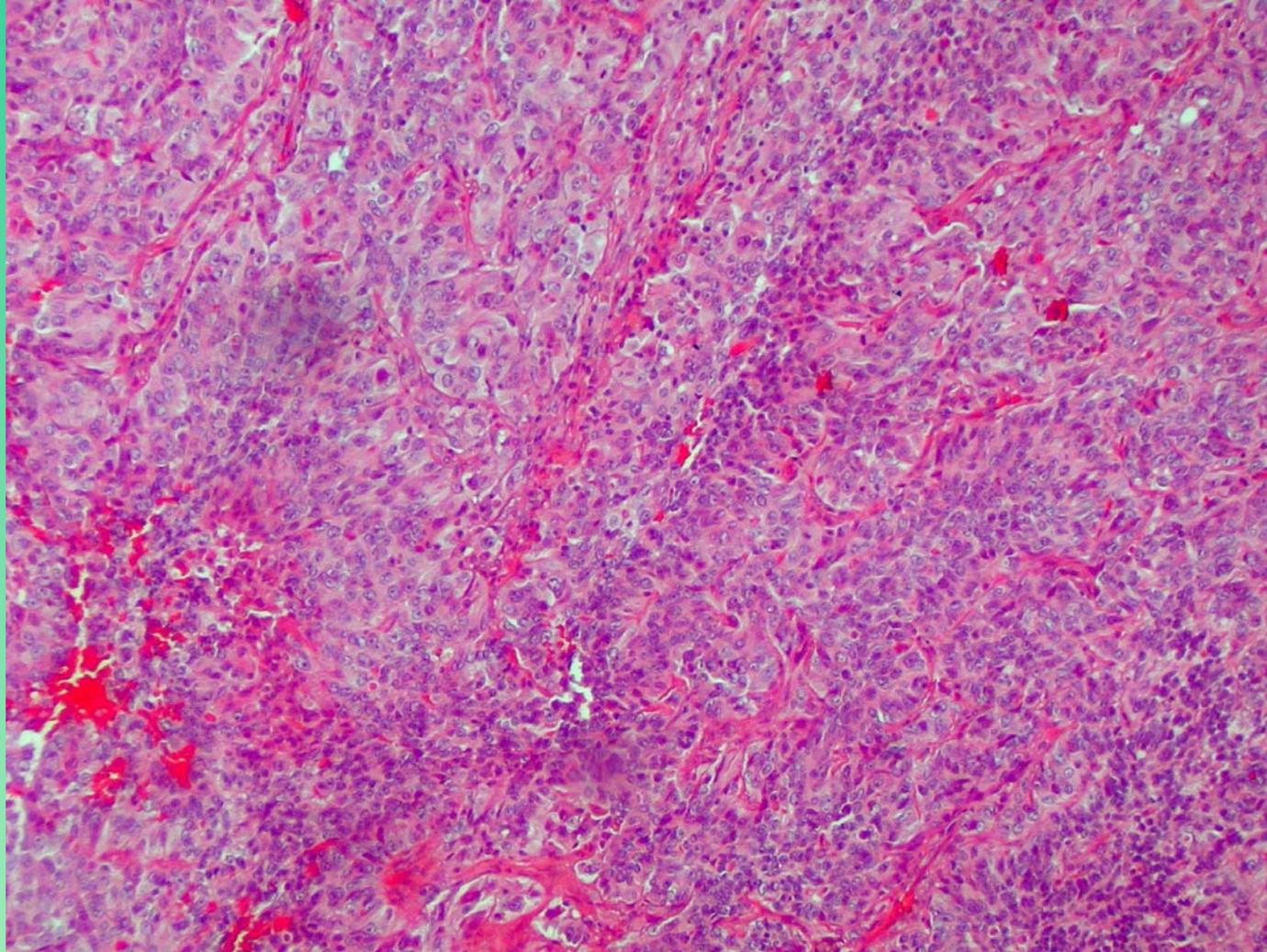
# CT scan of spine



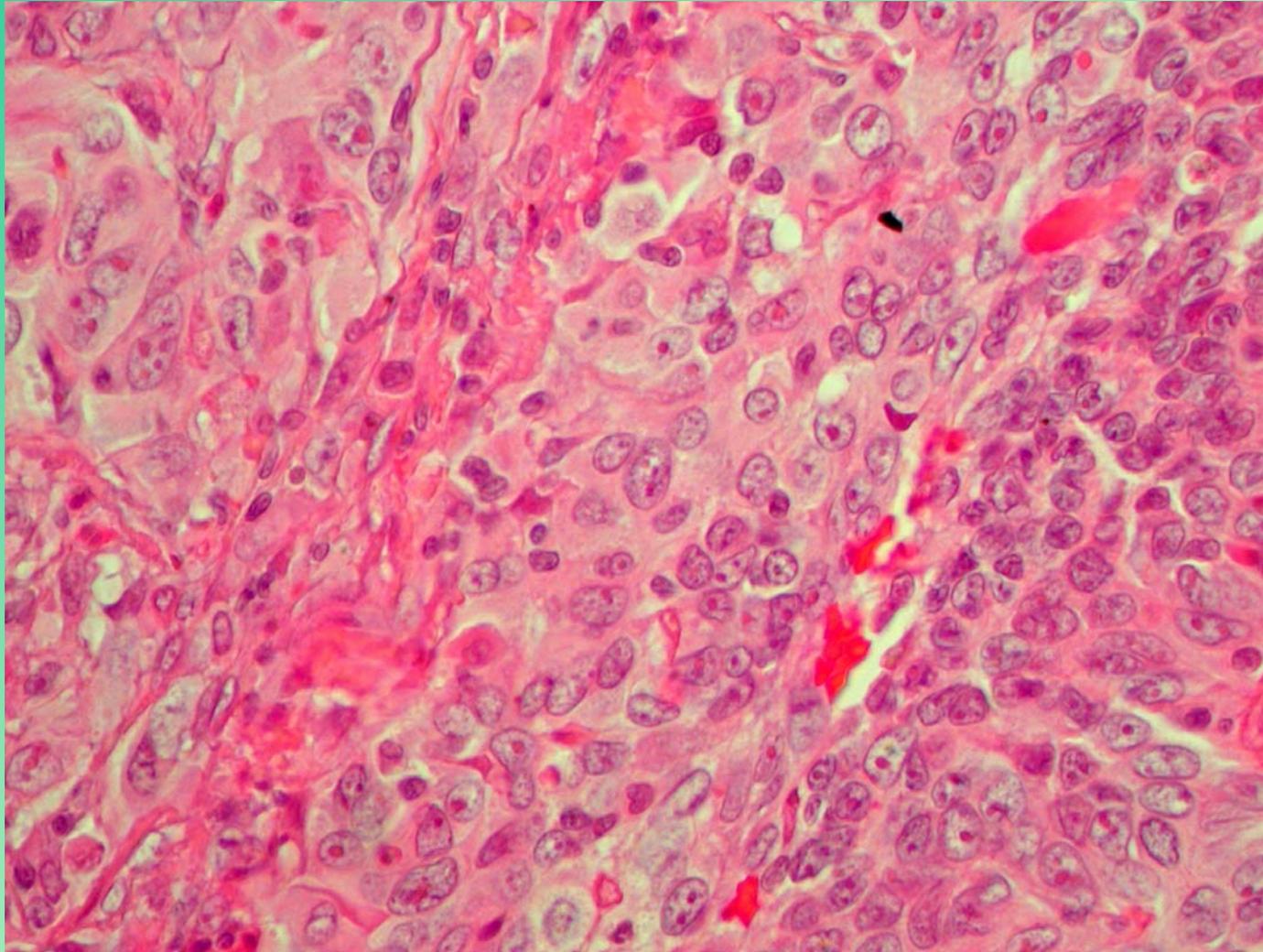
**Punched out  
lytic lesion**



# Pathology – Low Power



# Pathology – High Power



# Additional Work-Up

- CT showed many small lung nodules
- Tissue stained for  $\beta$ -subunit HCG and  $\alpha$ -fetoprotein as well as PSA – all negative
- Serum markers including CEA, CA27-29, CA 19-9 and above tests all negative
- Myeloma workup looking for paraprotein negative
- Rapidly developed new bone lesions
- Specimen of paraffin-embedded tissue block sent to reference lab for “Cancer Type ID” assay (m-RNA analysis) in hopes of finding a primary
- Details of assay to follow....

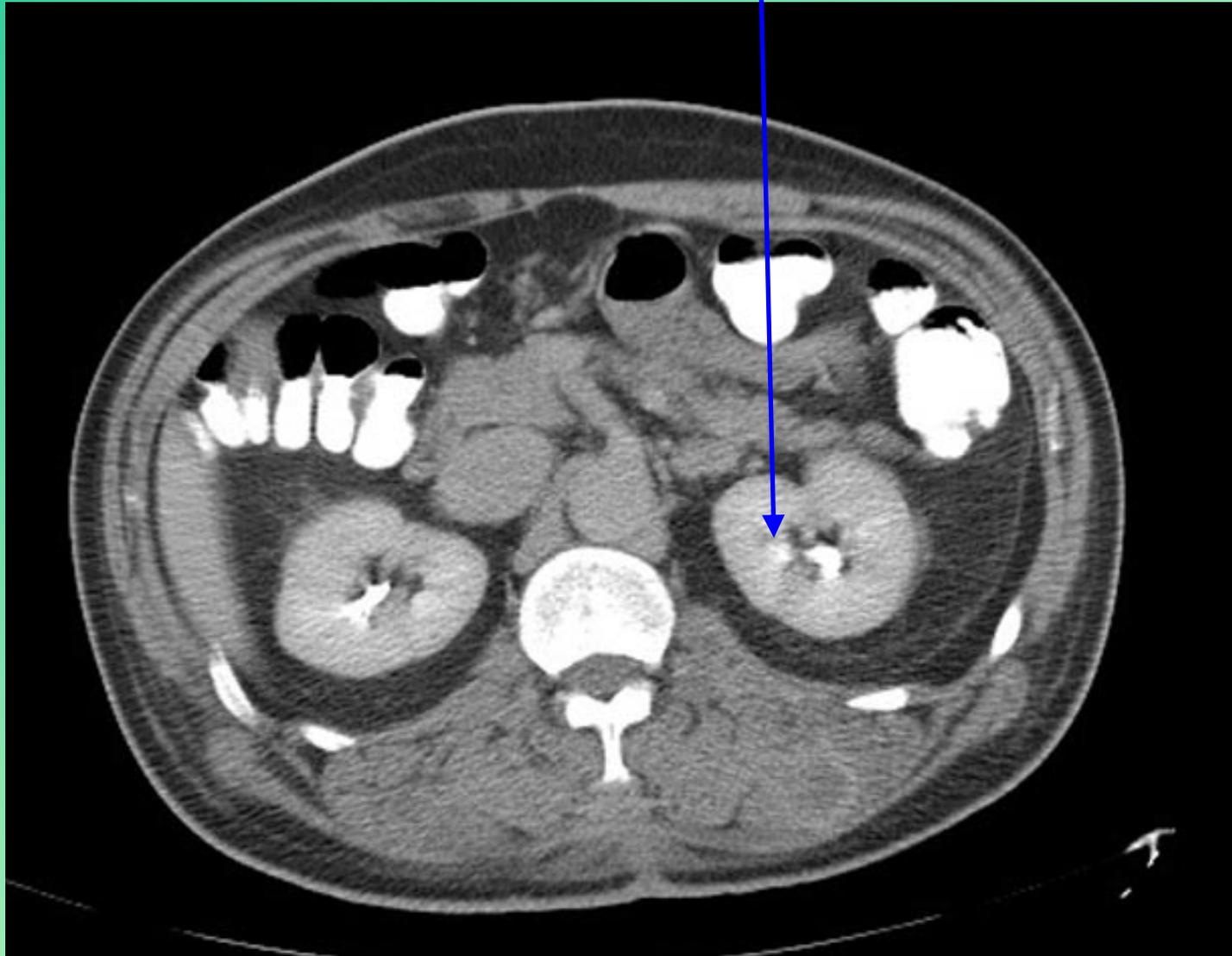


# Molecular Work-Up of Our Patients' Tumor

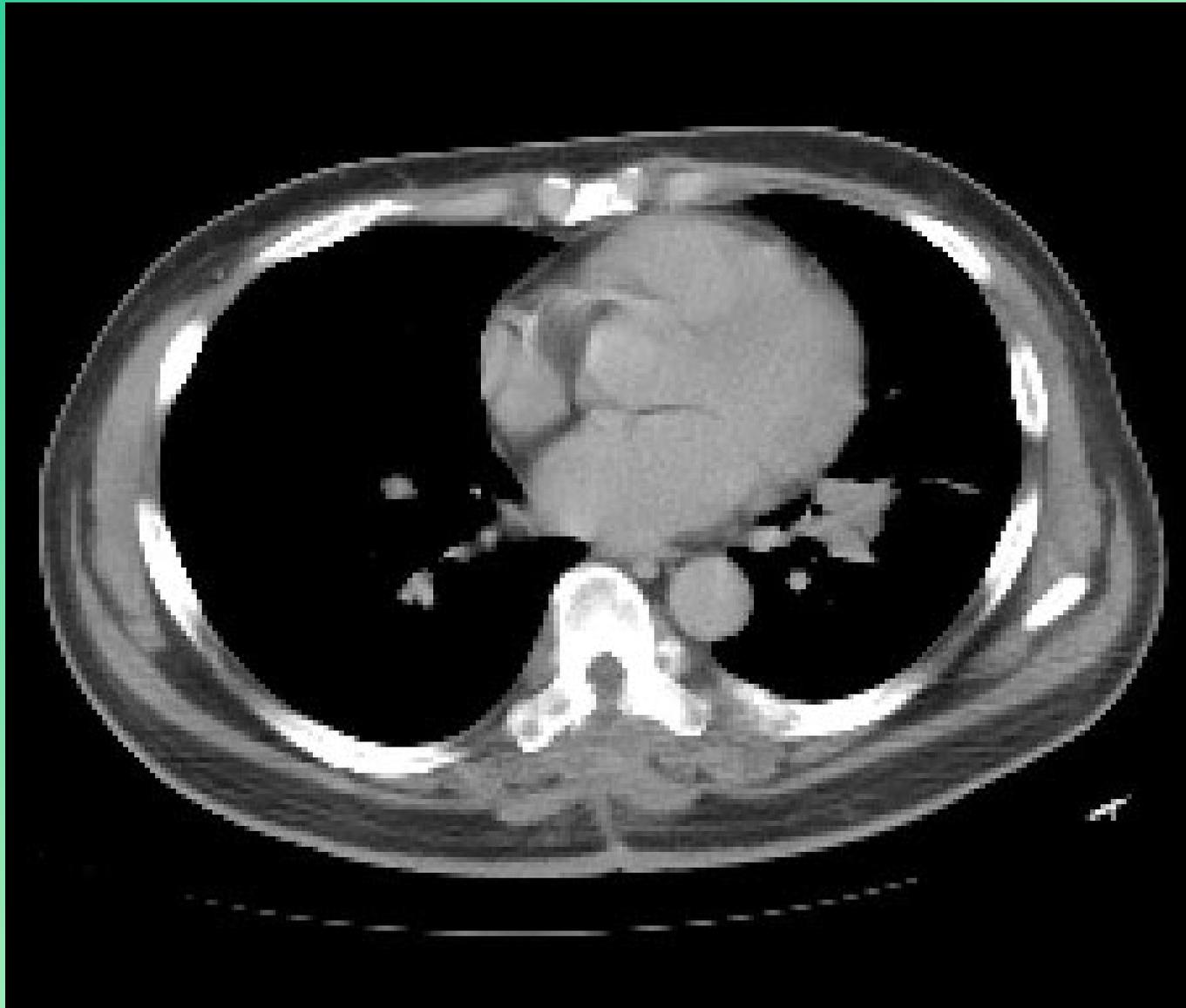
- Concluded with 99% confidence that he had renal cell carcinoma
- New CT scan showed new small suspicious lesion in left kidney and increase in size of previously noted lung lesions...



New Renal Lesion: Is this the Primary?



## Lung Lesions and Hilar Mass



## Case, continued

- On the basis of the m-RNA analysis he was started on Sunitinib
- By the time this was started he was almost bed-ridden; he pursued a downhill course and died before we could ascertain whether this drug really did him any good
- No “empiric” chemotherapy was ever given

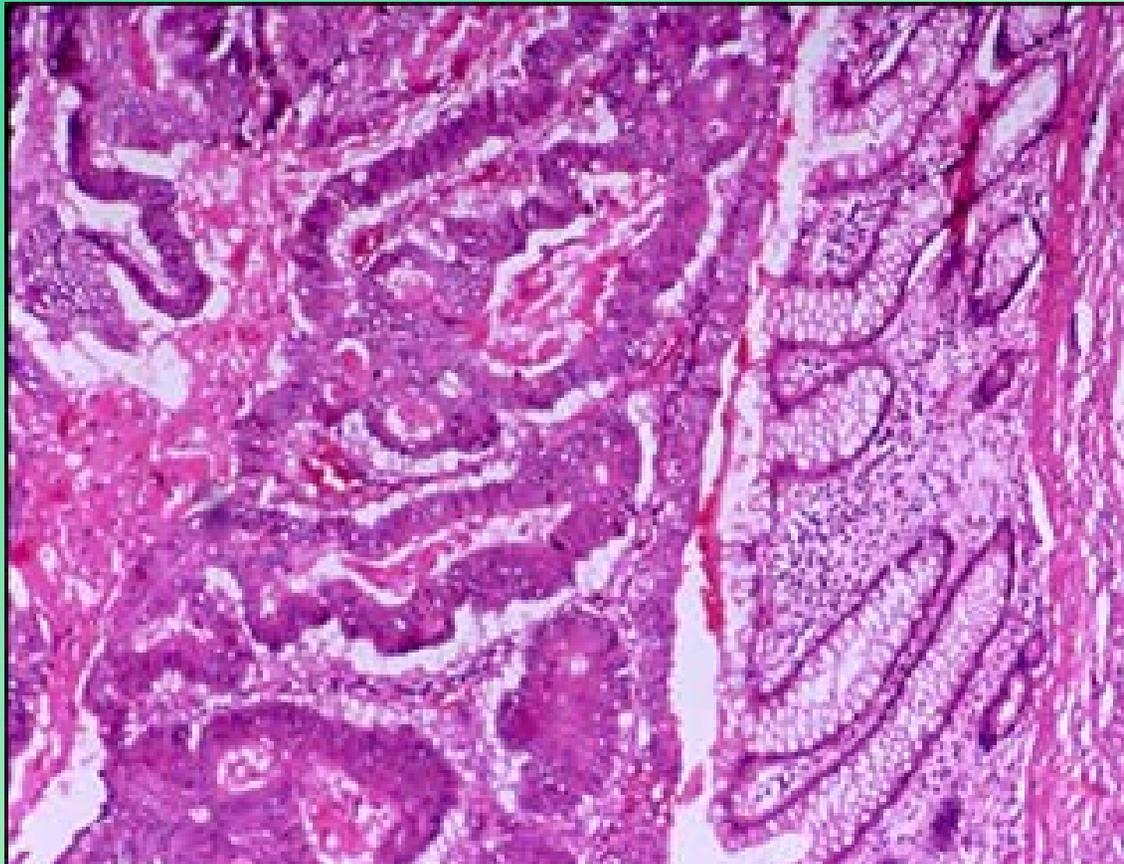


# A Brief History of Molecular Diagnostics

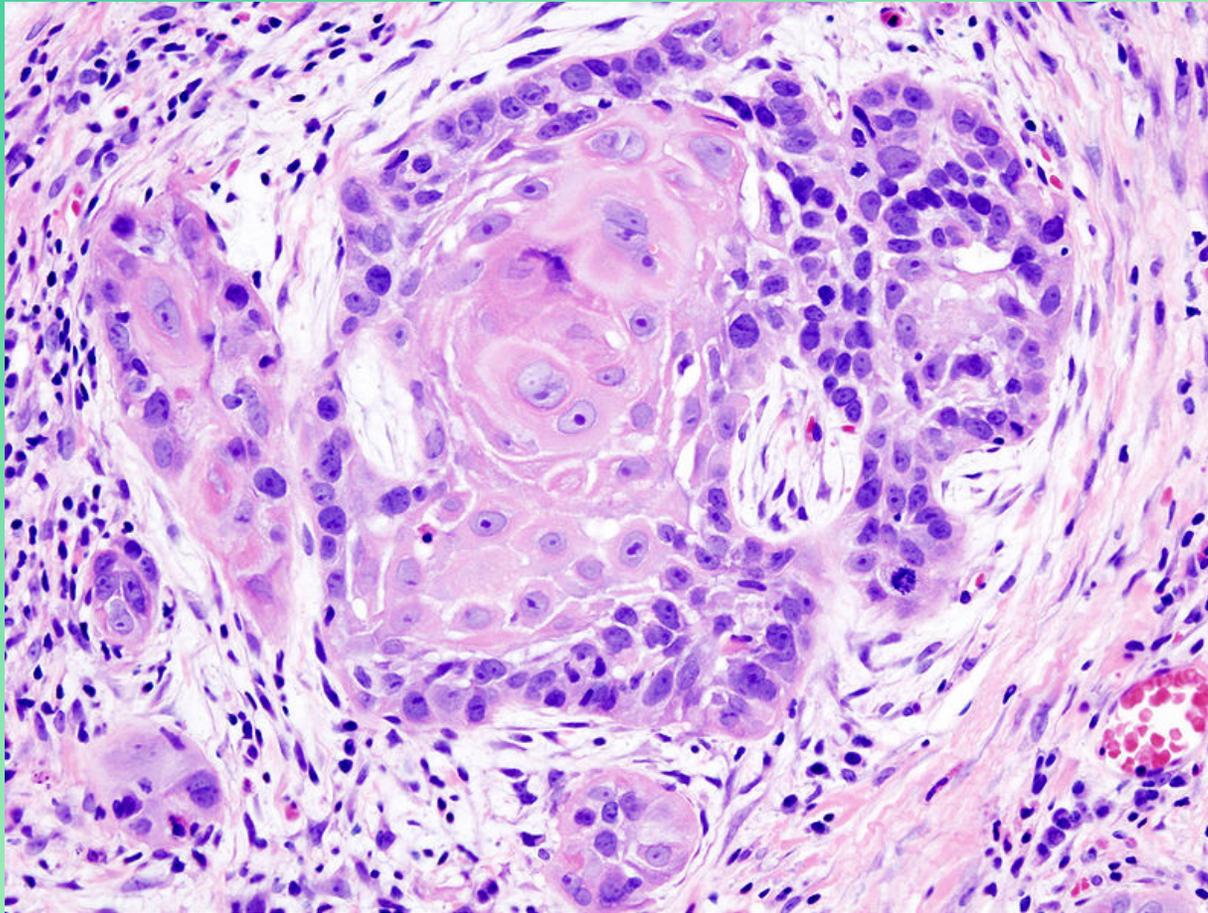
- For a long time H&E staining was the mainstay of histologic diagnosis of cancer
- Pattern recognition differentiated:
  - Carcinoma
    - Adeno, Squamous, Small Cell, etc.
  - Sarcoma
  - Myeloid Malignancies
  - Lymphoid Malignancies
  - Neuro-endocrine Malignancies



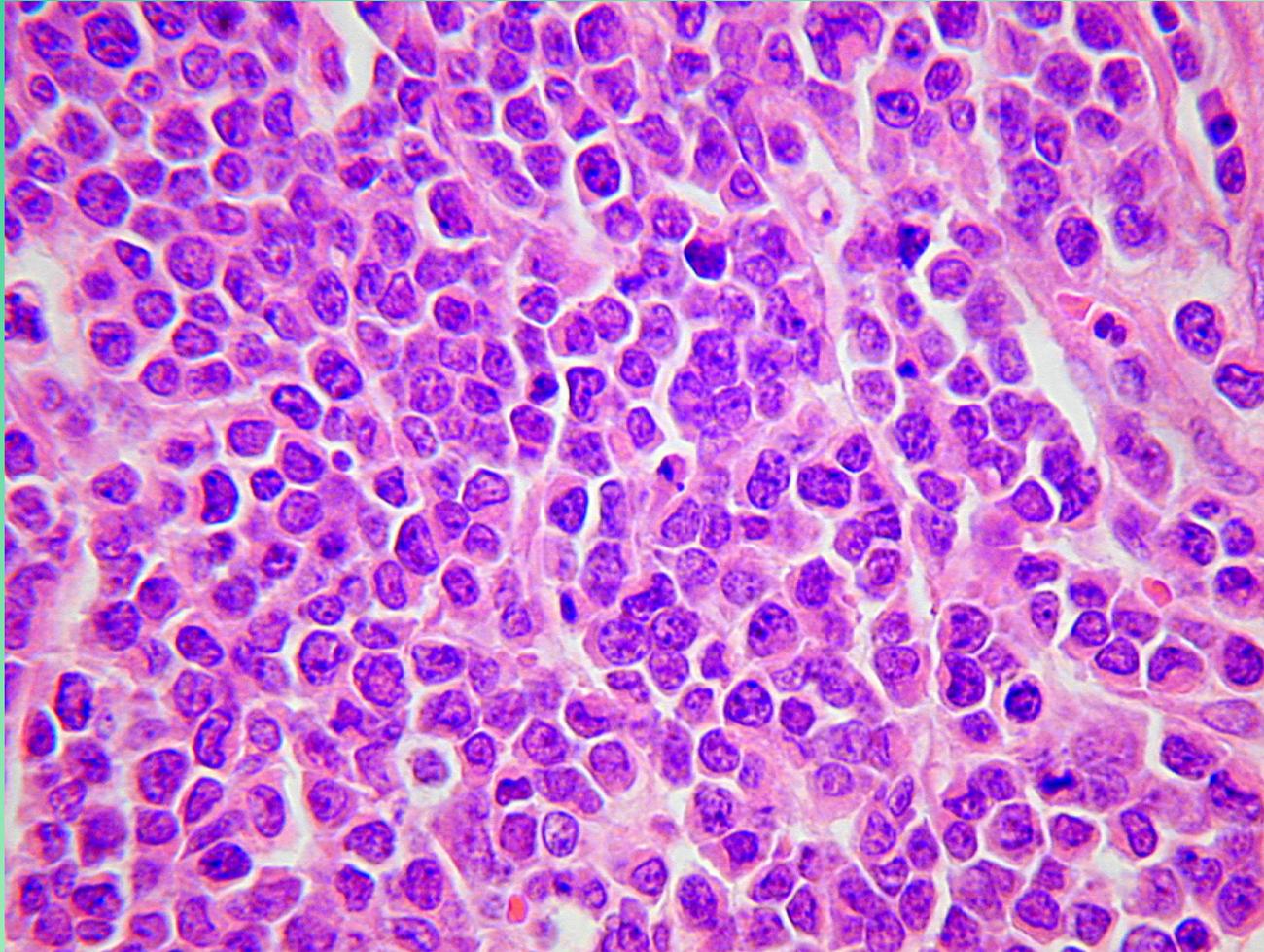
# Classic Colonic Adenocarcinoma



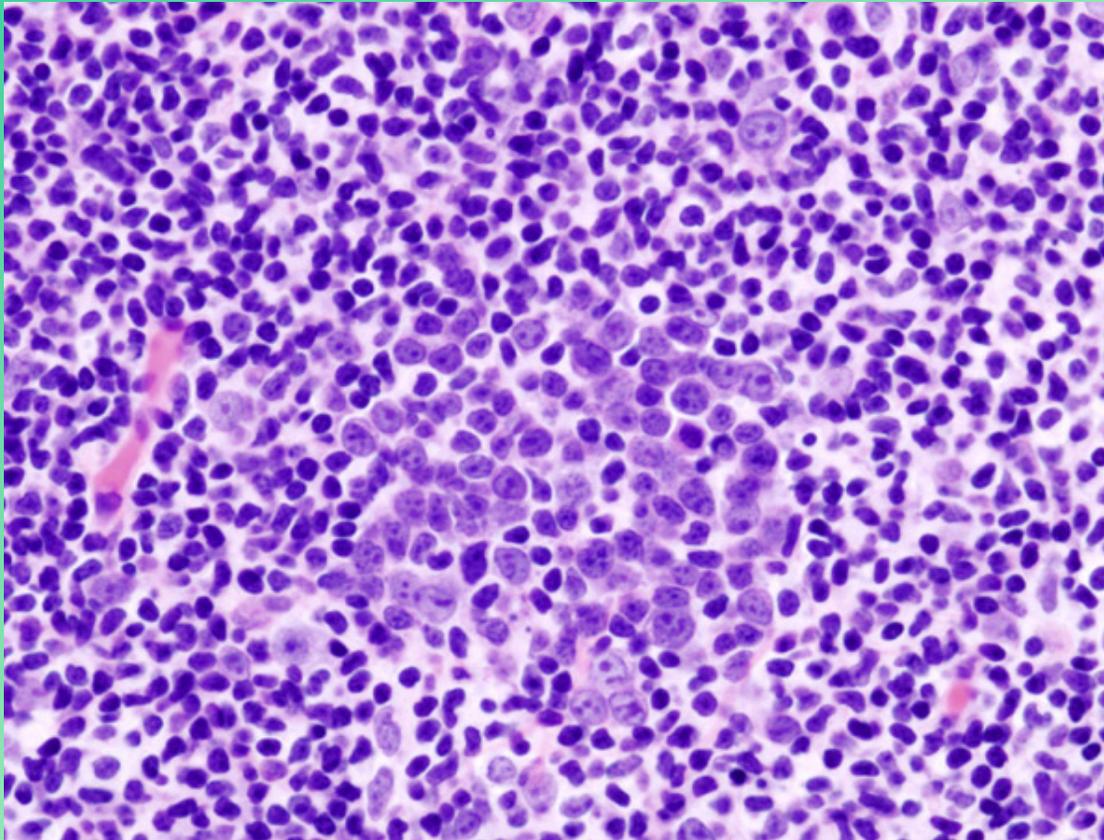
# Oral Cavity Squamous Cell Carcinoma



# Small Cell Lung Cancer



# Small Cell Carcinoma or Lymphoma?



By immunoperoxidase staining this is B-cell lymphoma.



# The Impact of Molecular Diagnostics on Contemporary Cancer Management

- The following presentation gives highlights from a burgeoning field, with significant advances occurring on a daily basis
- It cannot be encyclopedic in its approach
- Rather I hope to hit the high points in a very active and exciting area of basic and clinical research
- First...some relevant history



# First Breakthroughs in Solid Tumors – the 1970's

- The Hormone Receptor
- Immunoperoxidase staining looking for proteins expressed on the surface or in the cytoplasm of cells – as in the last example (small cell carcinoma vs. lymphoma)



# The Hormone Receptor Story

- Marc Lippman at NCI and others recognized that breast and other cancers expressed hormone receptors in their cytoplasm
- Those receptors bind steroid hormones; that complex then migrates into the nucleus of the cell and affects genes within the cell that control cell growth



# The Significance of the Presence of the Hormone Receptor

- Lends credence to the site of origin of the tumor as breast if the tumor assayed is in a metastatic site rather than the breast
- Guides therapy towards the use of hormonal manipulation rather than cytotoxic chemotherapy

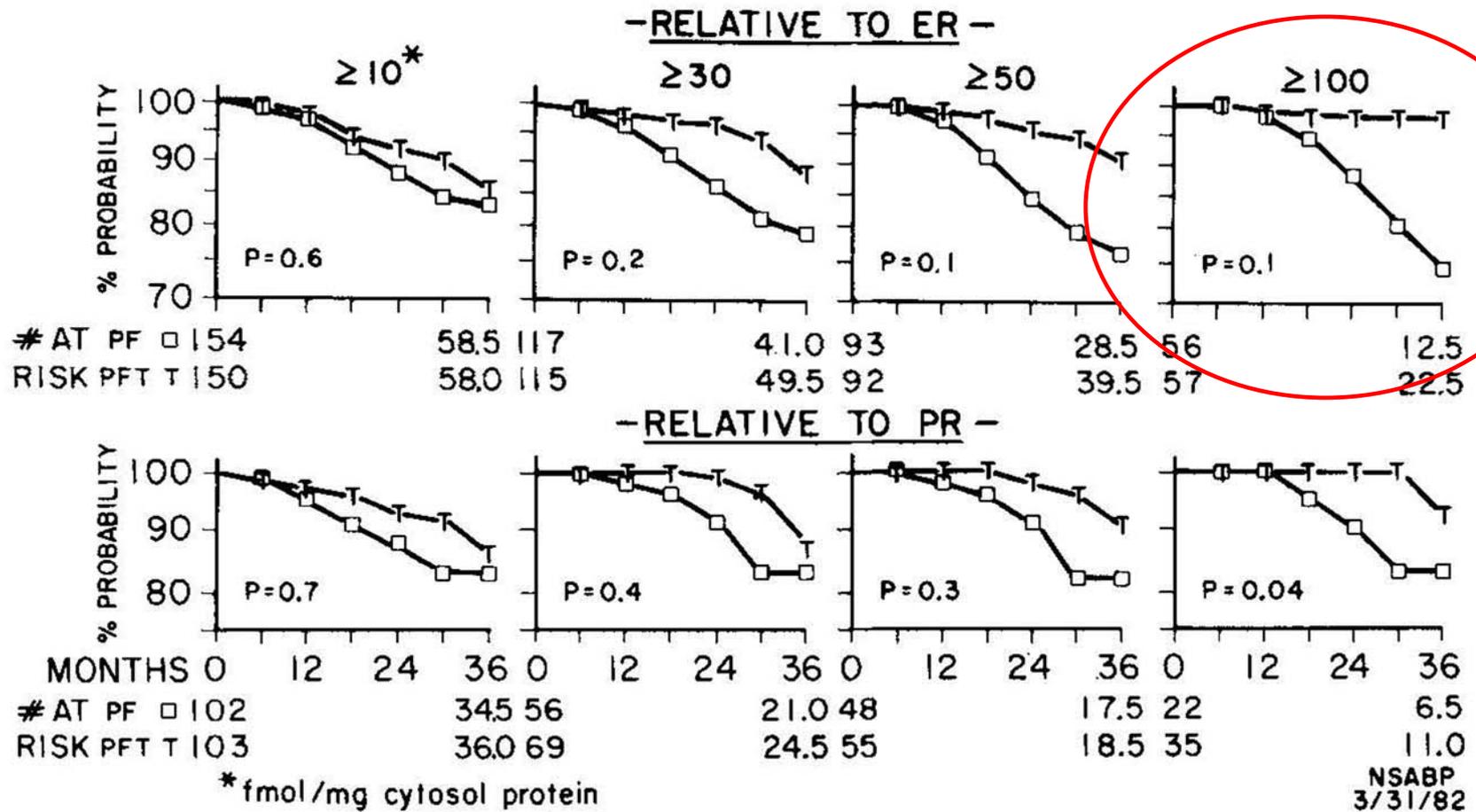


# The Significance of the Hormone Receptor in the History of this Field

- Applied technology already known in the 70's to solving a new problem
- Only recently have newer techniques (Northern Blot and Polymerase Chain Reaction) been used investigationaly to improve the accuracy of this test
- Represented a benchmark in going beyond conventional histology in determining structure *and* function of a cancer



## Further significance of the Estrogen Receptor: Disease-Free Survival with Adjuvant Tamoxifen versus concentration of quantitative ER and PR in women over 50 (NSABP B09)



Fisher et al. *J. Clin. Oncol.* 1(4)227-41, 1983

# The Importance of Surface Immunoglobulins to this Story

- Lymphomas until the 1970's were classified purely morphologically – nodular vs. diffuse, large vs. small cells
- The discovery of monoclonal surface immunoglobulins on lymphoid tissue changed forever the approach to, and treatment of, non-Hodgkin lymphoma
- The therapy of B-cell neoplasms (lymphomas, multiple myeloma) was changed substantially with the development of an antibody to the CD20 surface molecule expressed on B cells preferentially – i.e., Rituximab
- Molecular diagnostics drove the development of novel therapy



# Monoclonal Antibodies in Lymphoid Diseases, continued

- Next important antibody was OKT3 used therapeutically in the treatment of allograft rejection
- Directed against the T-cell and its role in graft rejection
- As a marker can help distinguish T- from B-cell lymphoma in difficult cases
- Being investigated in the treatment of T-cell malignancies



# Other Monoclonal Antibodies in the Treatment of Lymphoid Malignancies

- Alemtuzumab (Campath) directed against CD-52 in refractory CLL
- Ofatumumab picks up patients refractory to chemotherapy and Alemtuzumab – just approved by FDA and marketed as Arzerra
- All of these therapies are based on utilizing unique molecular aspects of lymphoid cells as targets for novel therapies

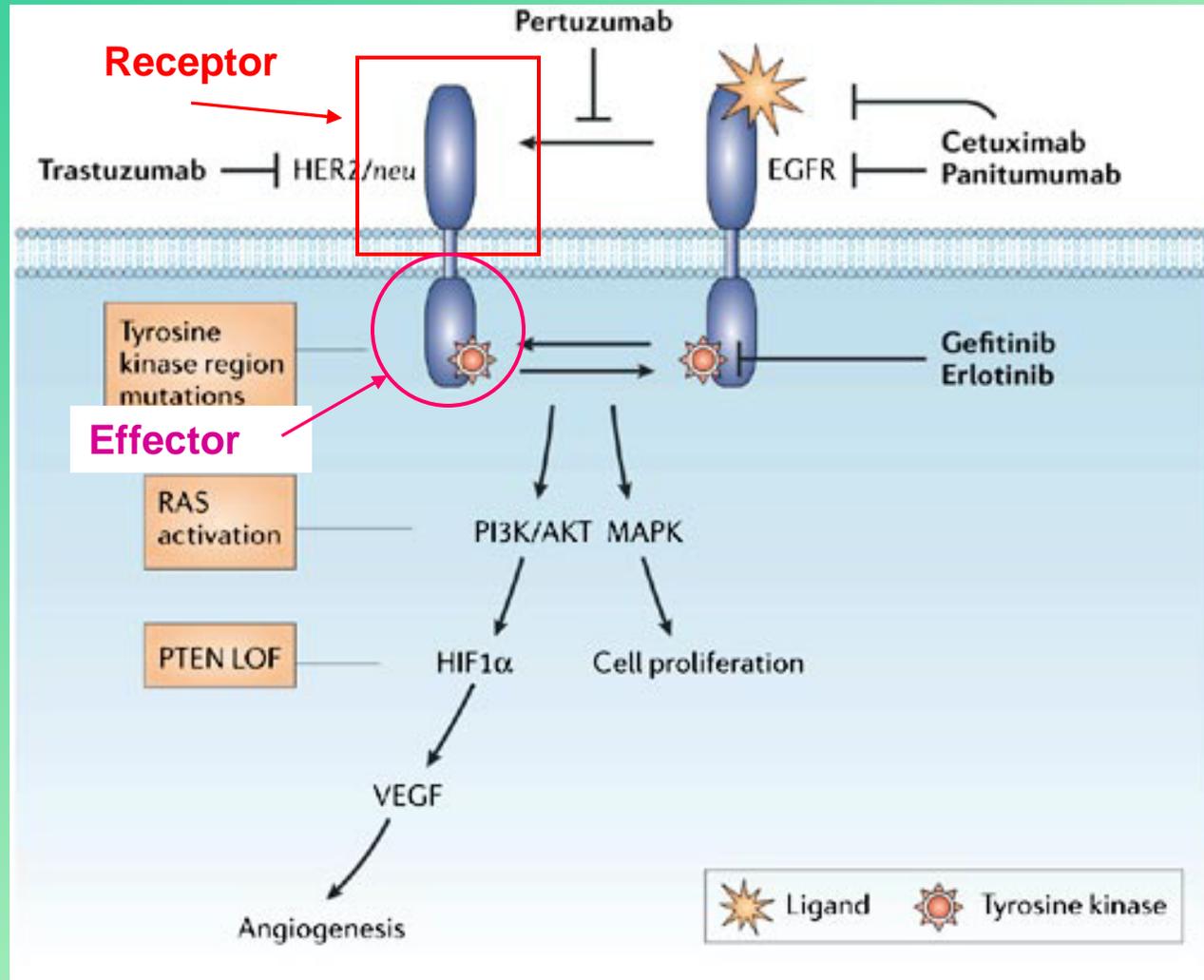


# Newer targets for the Treatment of Cancer: the Her-2/neu Oncogene

- 185 KD transmembrane glycoprotein receptor with intracellular tyrosine kinase activity
- Overexpressed in 18-20% of breast cancers
- Presence predicts for more virulent disease



# The Her-2/neu Oncogene



# Her-2, continued

- Patients who are “Her-2+” are so by virtue of making numerous copies of this transmembrane protein as part of the defect in regulation associated with the mutation
- Such patients historically (prior to the development of Trastuzumab) had a much higher overall mortality

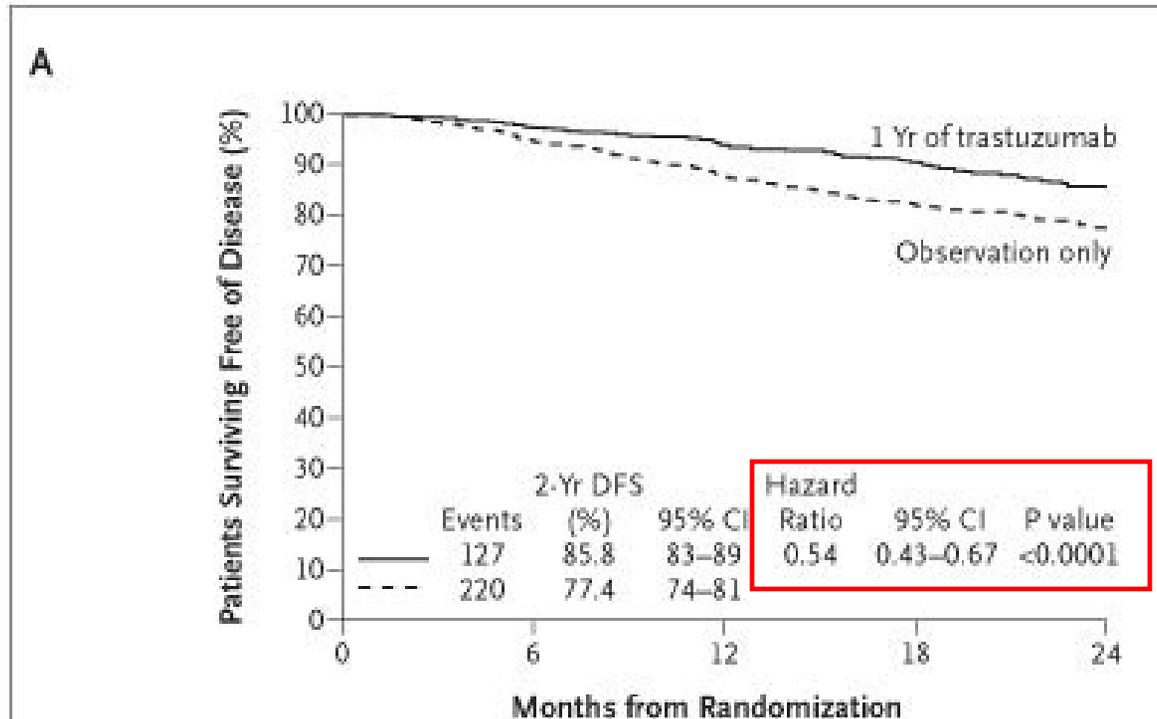


# Her-2, continued

- The addition of Trastuzumab to conventional chemo therapy in the adjuvant and metastatic setting has resulted in marked improvement in the outcome of such patients
- The classic adjuvant trial...the HERA trial



# Disease-Free survival in patients getting adjuvant chemotherapy with or without subsequent Trastuzumab



**No. at Risk**

|                     |      |      |     |     |     |
|---------------------|------|------|-----|-----|-----|
| 1 Yr of trastuzumab | 1694 | 1172 | 885 | 532 | 268 |
| Observation only    | 1693 | 1108 | 767 | 445 | 224 |



# Adjuvant Herceptin, continued

- These seminal observations have been extended to other groups of patients
- This treatment became the overnight standard of care for patients with Her-2 positive breast cancer, either primary or metastatic
- The original observation about a unique gene on the surface of breast cancer cells started the cascade of developments which has led to revolutionary new treatment of breast cancer

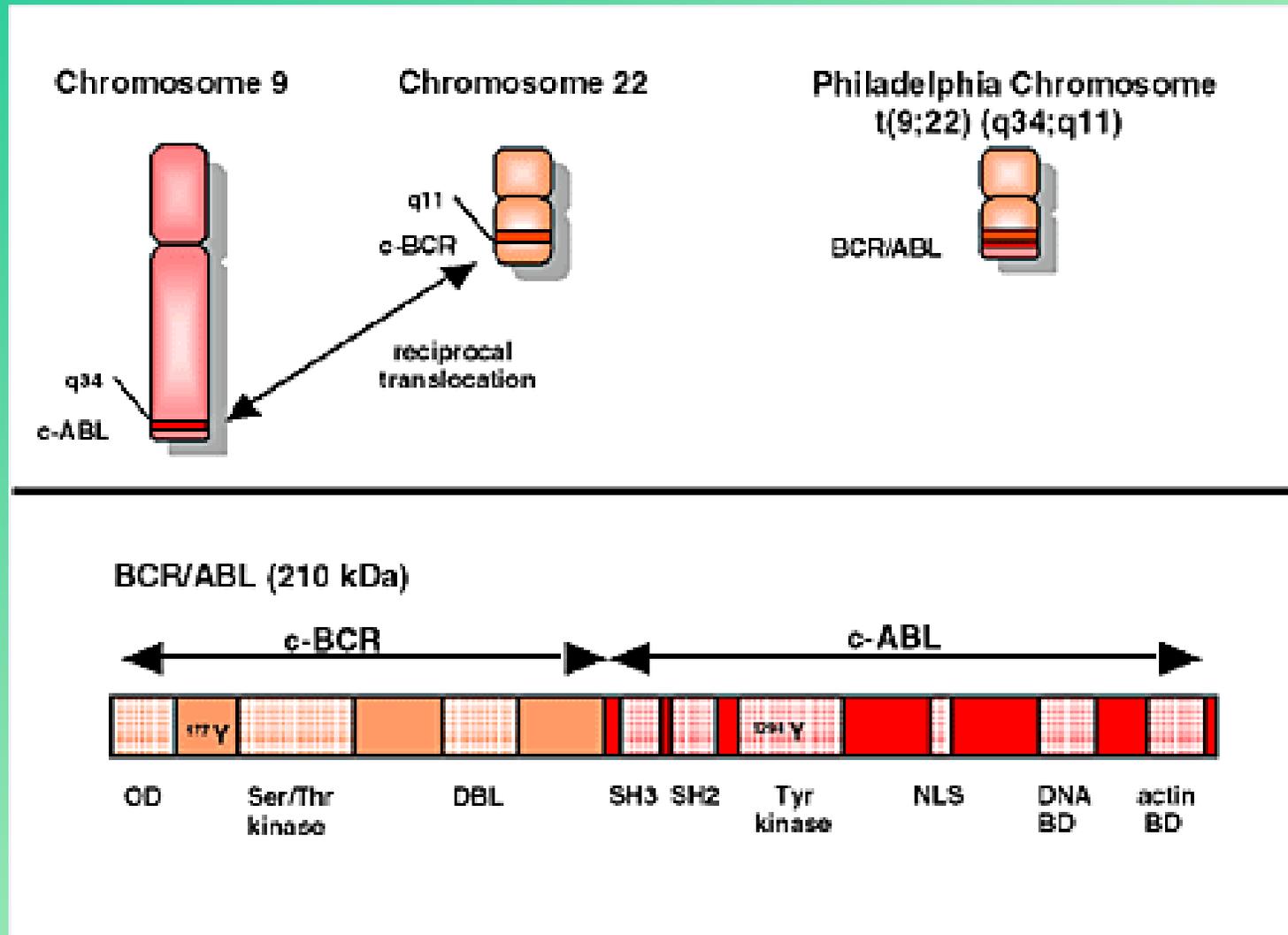


# The Philadelphia Chromosome in the Era of Molecular Biology

- For decades the exchange of genetic material between chromosomes 9 and 22 in CML has been well known...



# Schematic of BCR-ABL



# The Philadelphia Chromosome in the Era of Molecular Biology

- For decades the exchange of genetic material between chromosomes 9 and 22 in CML has been well known
- The ability to find a single cell with the translocation in a sea of normal cells is a relatively new development: Fluorescence in-situ hybridization (FISH)

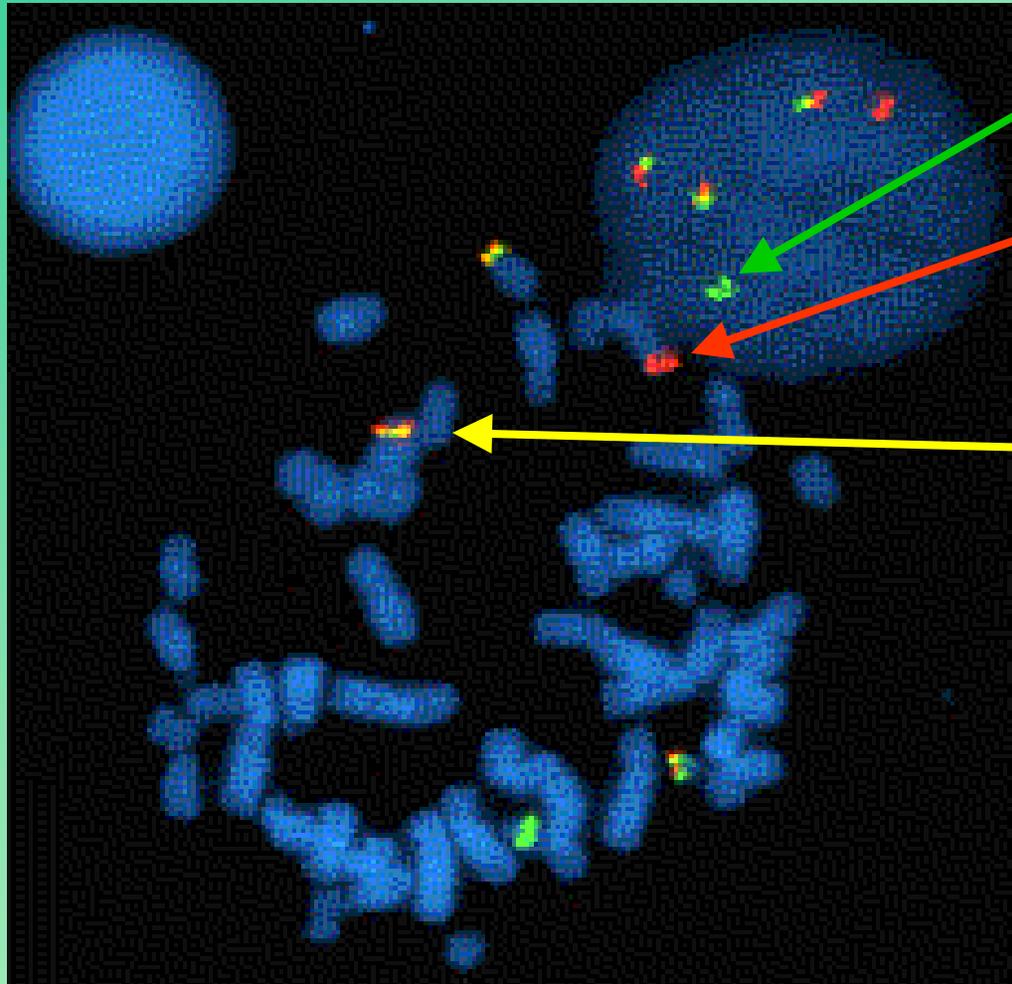


# FISH

- Uses short pieces of DNA which are complementary to a genetic sequence of interest (a probe)
- Probe binds specifically to target DNA sequence
- Probe is linked to a fluorescent compound for visualization
- 200 cells typically scored
- Always targeted to a specific mutation;
- Not a hunt for any mutation



FISH: When you know what you are looking for...  
In this case the novel BCR-ABL sequence



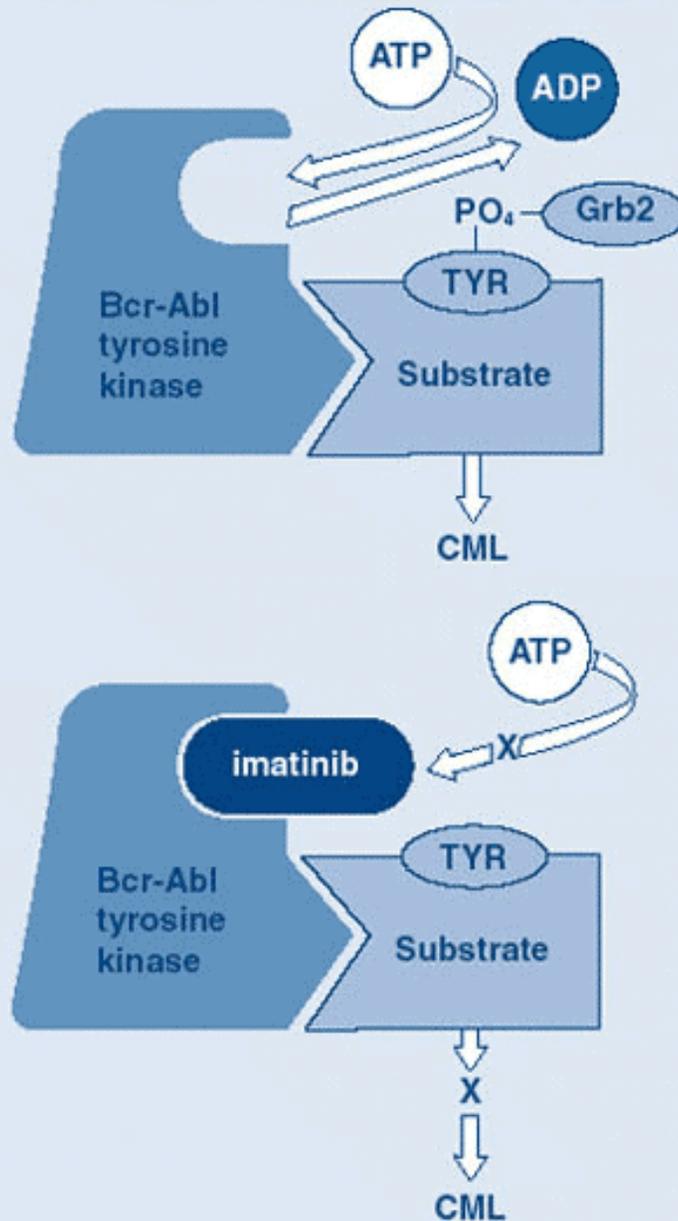
BCR green

ABL orange

Fusion signal  
yellow



# How Imatinib Works

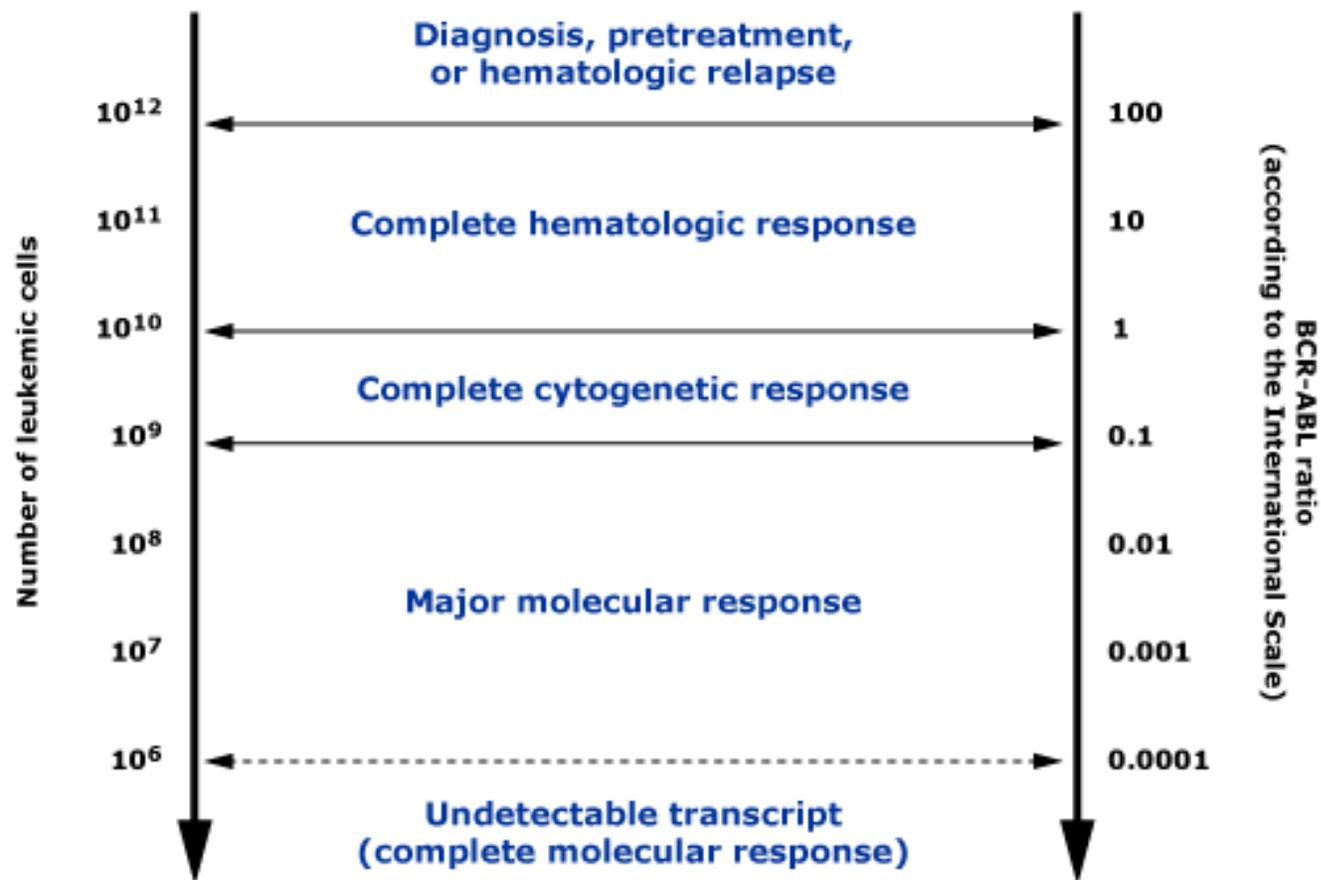


## Outcomes in Patients with CML treated with Imatinib

- A high percentage of patients with convert to FISH-negative in marrow and peripheral blood
- A smaller number will have complete disappearance of disease by Polymerase Chain Reaction techniques



## Approximate relationship between response, the putative number of leukemic cells, and the level of BCR-ABL transcripts



# Long-Term Results

- The greater the log reduction in tumor burden the more likely that the patient will stay in morphologic remission
- After ten years of experience with Imatinib median survival of original group of patients has not yet been reached
- Previously median survival of patients with newly diagnosed CML receiving best therapy was 3-4 years

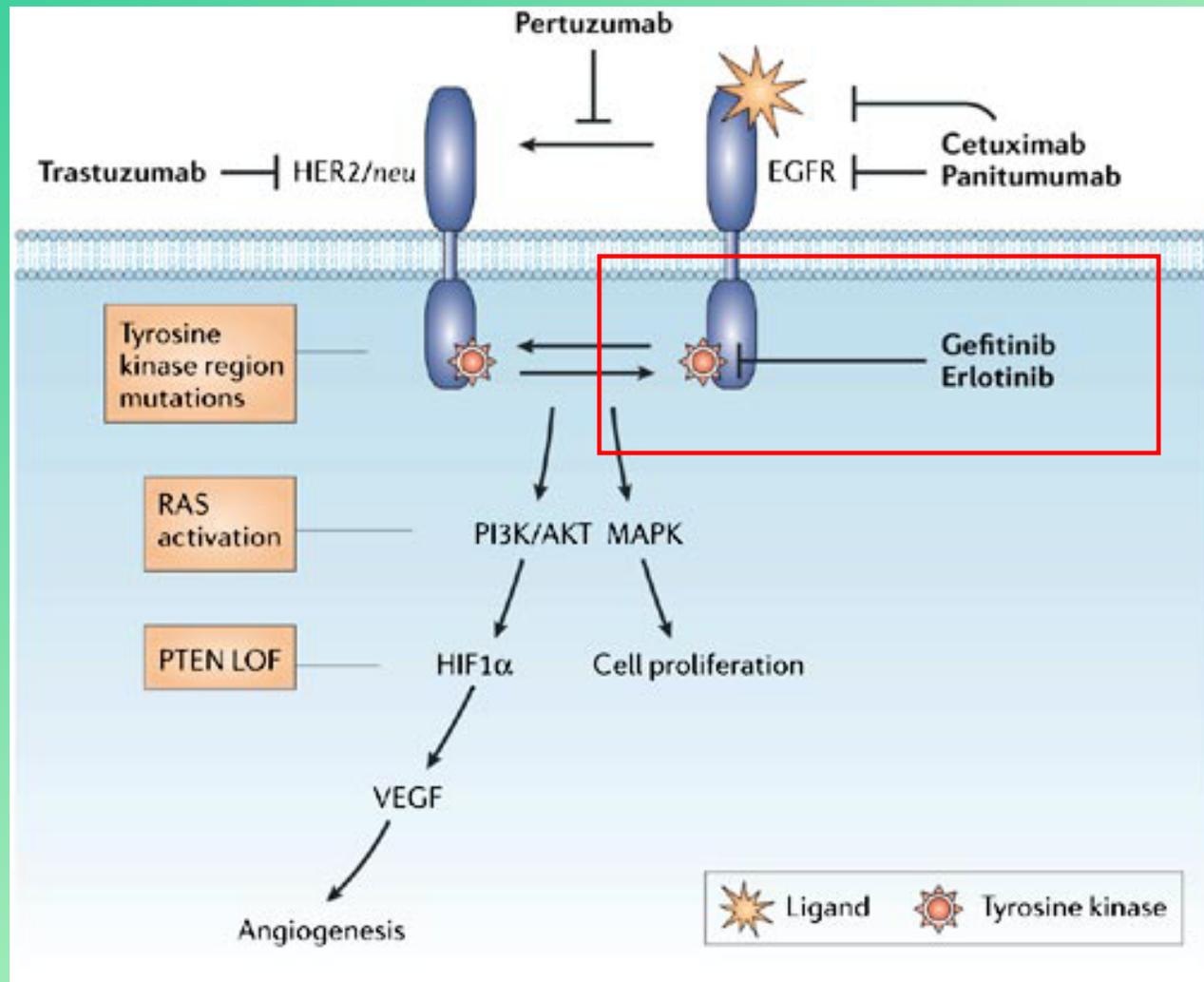


# The Role of the Epidermal Growth Factor Receptor in Lung Cancer

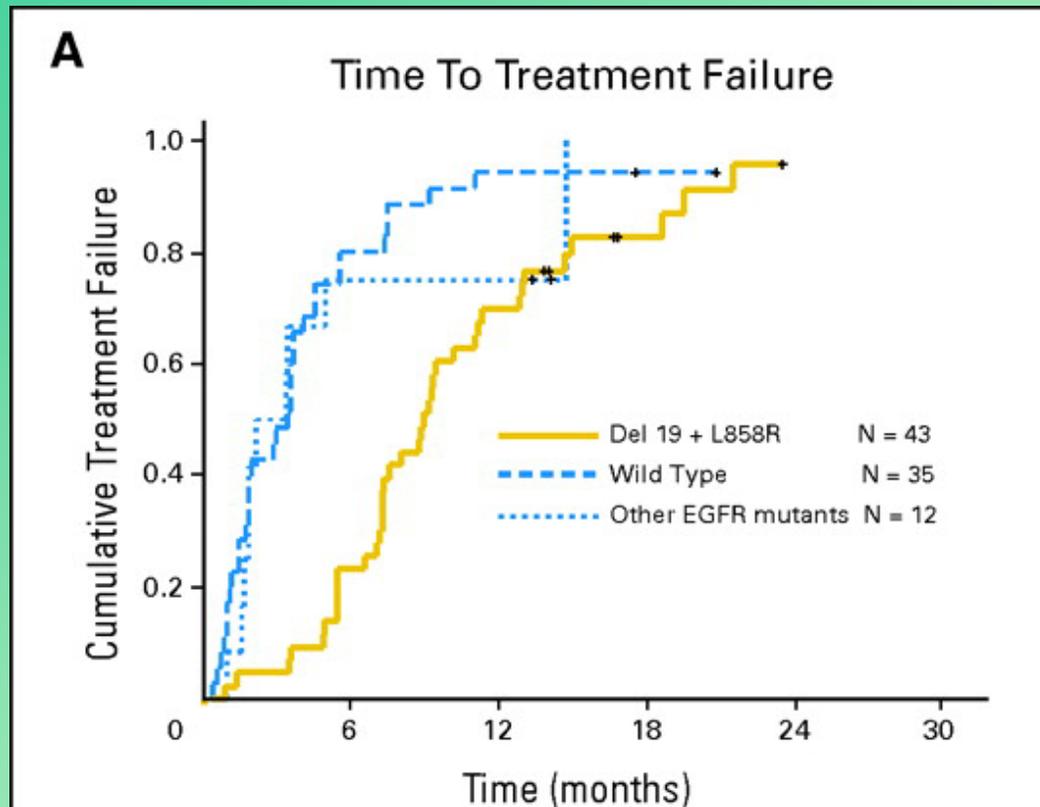
- Required for tumor growth
- Mutated about 15-20% of the time
- After several years of research on Erlotinib (Tarceva), investigators determined that this mutation was critical to success with this drug



# The Many Targets of Tyrosine Kinase Inhibition



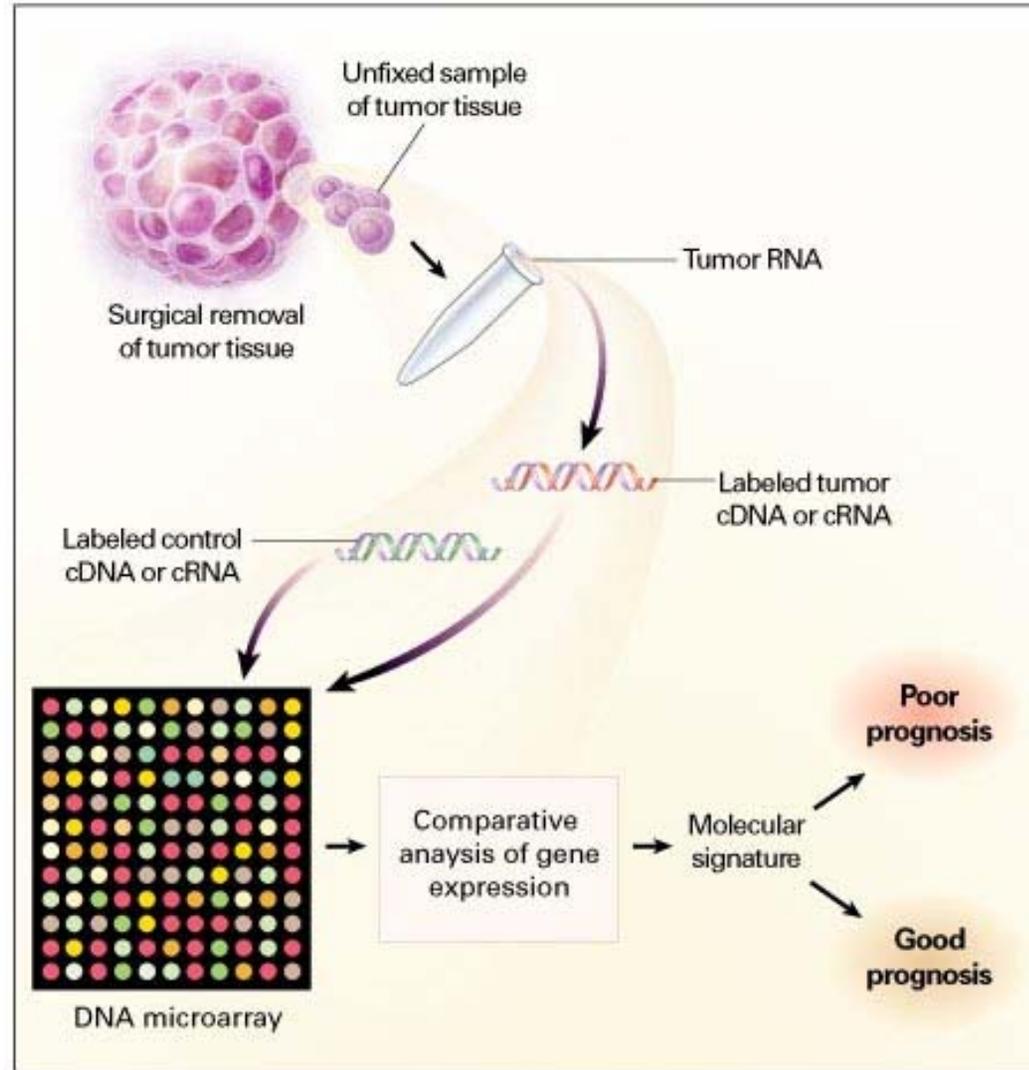
# Time to treatment failure of patients treated with Erlotinib grouped by EGFR mutational status



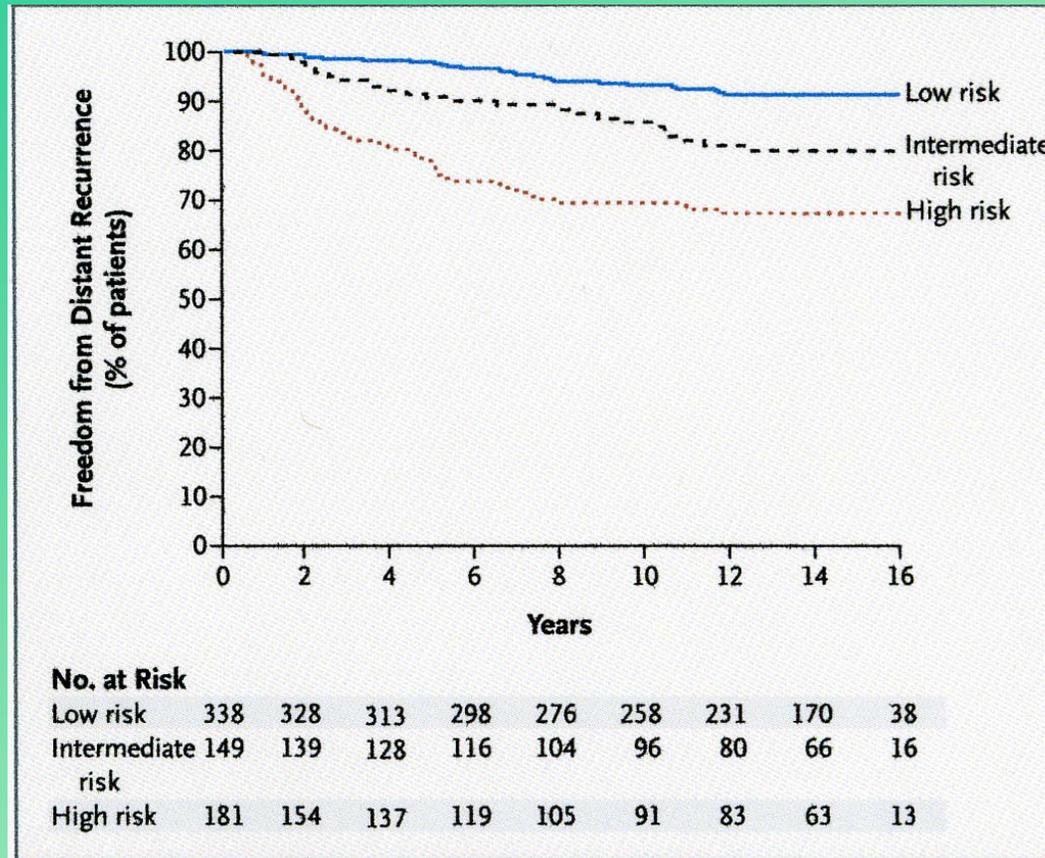
Yang, C.-H. et al. *J Clin Oncol*; 26:2745-2753 2008



# The next step in this adventure: Gene-Expression Profiling



# Using Gene-Expression Profiling to Create Prognosis in Primary Breast Cancer: the Oncotype DX test

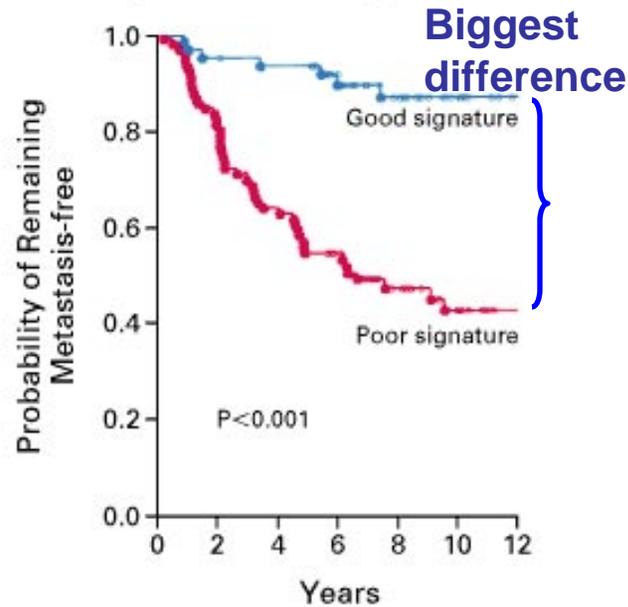


By regression analysis 21 genes were picked which, if mutated, alter prognosis. Those genes are analyzed in this test and a risk-of-recurrence score is derived based on the types of mutations seen.



# Probability That Patients Would Remain Free of Distant Metastases among 151 Patients with Lymph-Node-Negative Breast Cancer with the Use of Gene-Expression Profiling, the St. Gallen Criteria, and the National Institutes of Health (NIH) Consensus Criteria

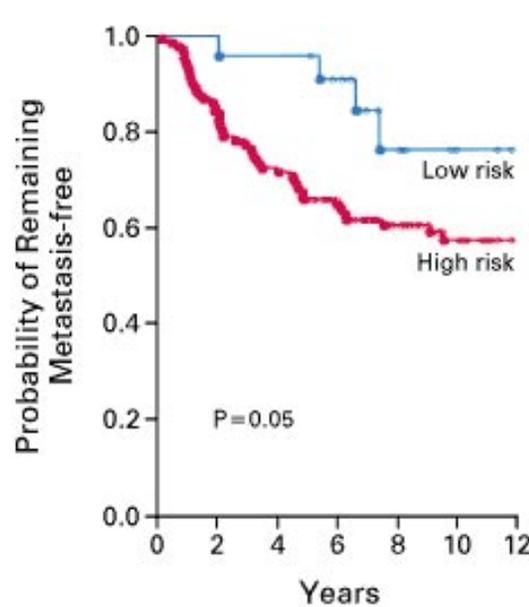
A Gene-Expression Profiling



NO. AT RISK

|                |    |    |    |    |    |    |    |
|----------------|----|----|----|----|----|----|----|
| Good signature | 60 | 57 | 54 | 45 | 31 | 22 | 12 |
| Poor signature | 91 | 72 | 55 | 41 | 26 | 17 | 9  |

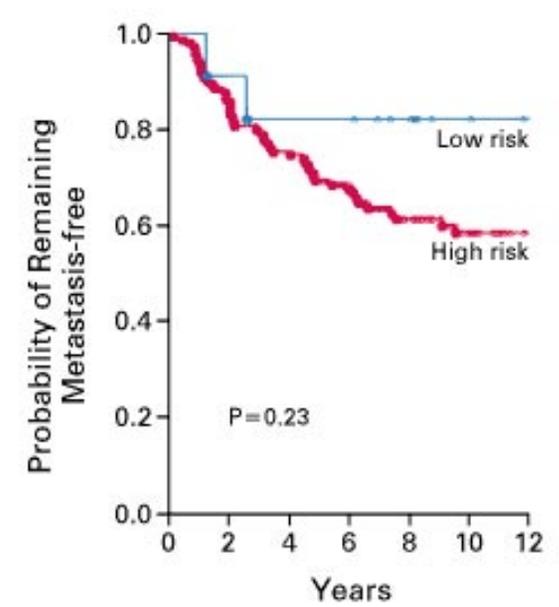
B St. Gallen Criteria



NO. AT RISK

|           |     |     |    |    |    |    |    |
|-----------|-----|-----|----|----|----|----|----|
| Low risk  | 22  | 22  | 21 | 17 | 9  | 5  | 2  |
| High risk | 129 | 107 | 88 | 69 | 48 | 34 | 19 |

C NIH Consensus Criteria



NO. AT RISK

|           |     |     |     |    |    |    |    |
|-----------|-----|-----|-----|----|----|----|----|
| Low risk  | 11  | 10  | 9   | 9  | 6  | 2  | 0  |
| High risk | 140 | 119 | 100 | 77 | 51 | 37 | 21 |

van de Vijver, M. et al. *N Engl J Med* 2002;347:1999-2009



# Using Recently Acquired Technology to Address the Problem of the Unknown Primary

- 4-5% of cancers present as unknown primaries with metastasis being the first evidence of cancer
- Until now there have been only a limited number of ways to analyze their tumors *ante-mortem*
- A variety of genes can now be sequenced and compared to a library of genetic mutations compiled for a wide variety of tumors
- Genes looked at include genes for transcription factors, trans-membrane proteins and tumor-specific genes (e.g., TTF-1 for lung cancer)



# Commercial Test Addresses Problem: The CancerTYPE ID<sup>®</sup> Gene Characteristics

- 92 genes not normally measured by routine laboratory testing:
  - Transcription factors (e.g., HOX-A9, HOX-B8)
  - Plasma membrane proteins (e.g., HTR3A, CHRM3)
  - Uncommonly measured tumor-specific markers (e.g., ESR1 for breast, PRAME for melanoma)
  - Compared genetic sequence in these genes of the unknown tumor against a library of 2000+ tumors whose site of origin was known

Ma XJ, et al. *Arch Pathol Lab Med.* 2006;130:465-473



# Impact of Diagnostic Procedures on Healthcare Systems

|                           |   |
|---------------------------|---|
| <b>Misclassifications</b> | In a retrospective study reviewing the frequency and impact of errors in ~24000 cases, 45% of gynecologic errors and 39% of non-gynecologic errors were associated with harm <sup>1</sup> |
| <b>Cost</b>               | Traditional diagnostic methods often fail to diagnose hard-to-identify cancers, even after extensive work-ups that average nearly \$18,000 <sup>2,3</sup>                                 |

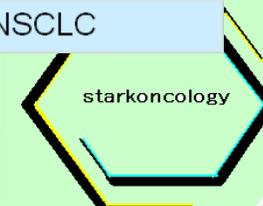
**There is an unmet need for standardized assays to support diagnostic evaluation and reduce diagnostic uncertainty**

<sup>1</sup>Raab SS, et al. *Cancer* 2005;104:2205-2213; <sup>2</sup>Levine MN, et al. *CMAJ*. 1985;133:977-987;  
<sup>3</sup>Schapira DV, Jarrett AR. *Arch Int Med*. 1995;155:2050-2054



## Applying this technology to a series of twenty unknown primary tumors

|  | Age/Sex | Biopsy Site          | Light Microscopic Histology | Molecular Assay Diagnosis | Actual Primary Site |
|--|---------|----------------------|-----------------------------|---------------------------|---------------------|
| <b>Correct Primary Site Identified (N=15)</b>    | 59 F    | Axillary node        | PDC                         | Breast                    | Breast              |
|  | 65 F    | Axillary node        | PDA                         | Breast                    | Breast              |
|  | 51 F    | Bone                 | PDC                         | Breast                    | Breast              |
|  | 64 F    | Supraclavicular node | PDA                         | Breast                    | Breast              |
|  | 85 F    | Chest wall mass      | PDA                         | Ovary                     | Primary peritoneal  |
|  | 69 F    | Inguinal node        | Adenocarcinoma              | Ovary                     | Primary peritoneal  |
|  | 87 F    | Omentum              | PDA                         | Ovary                     | Primary peritoneal  |
|  | 68 F    | Paratracheal mass    | PDC                         | Ovary                     | Ovary               |
|  | 49 F    | Mesenteric node      | PDA                         | Intestine                 | Colon               |
|  | 61 M    | Liver                | PDA                         | Intestine                 | Colon               |
|  | 42 F    | Brain                | PDA                         | NSCLC                     | NSCLC               |
|  | 67 M    | Subcutaneous mass    | Squamous carcinoma          | NSCLC                     | NSCLC               |
|  | 59 M    | Brain                | PDA                         | NSCLC                     | NSCLC               |
|  | 74 M    | Bones                | Adenocarcinoma              | Gastric                   | Gastric             |
|  | 76 M    | Axillary node        | PDC                         | Melanoma                  | Melanoma            |
| <b>Primary Site Indeterminate by Assay (N=2)</b> | 60 M    | Small intestine      | PDC                         | Unclassifiable            | NSCLC               |
|  | 38 M    | Mediastinal node     | PDA                         | Unclassifiable            | NSCLC               |
| <b>Incorrect Primary Site Identified (N=3)</b>   | 61 M    | Supraclavicular node | PDC                         | Testis                    | Pancreas            |
|  | 62 M    | Retroperitoneal node | PDA                         | Colorectal                | Gastric             |
|  | 75 F    | Chest wall mass      | PDC                         | Soft tissue sarcoma       | NSCLC               |



**Greco A et al. J Clinical Oncology 27:15s, 2009 (Abstract 11070)**

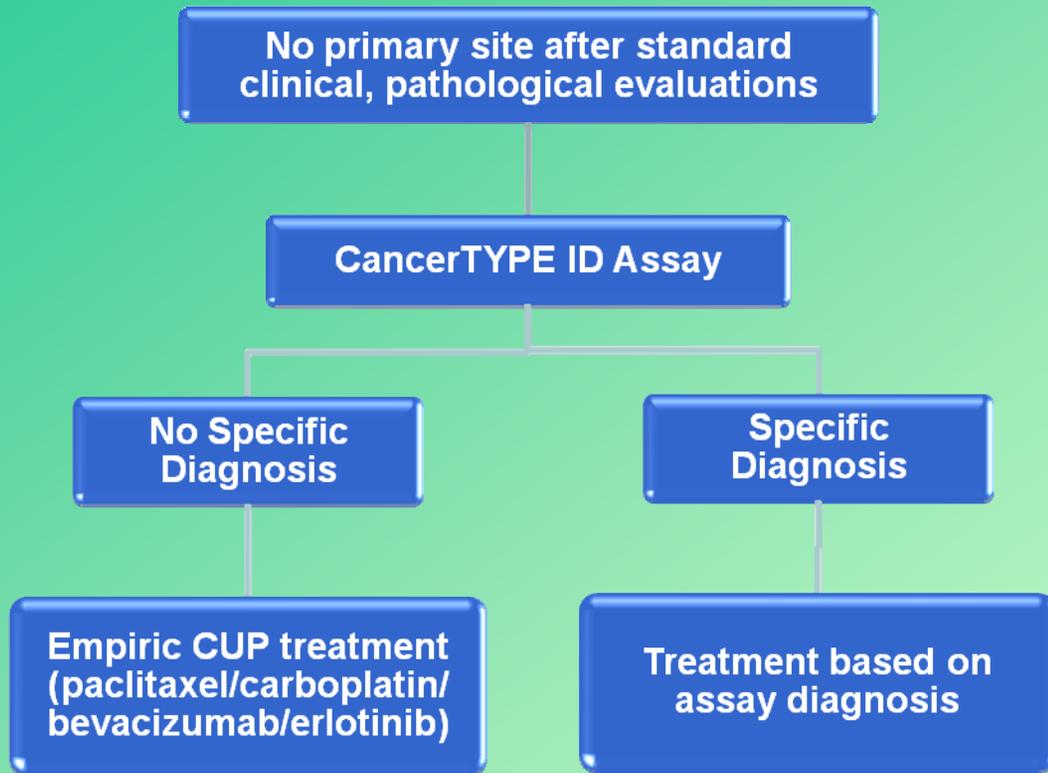
## Potential Changes in Treatment for Cases with Accurate Predictions

| Patient | Primary site suspected  | Treatment for | Molecular Assay Diagnosis | Likely Change in Treatment & Outcome |
|---------|-------------------------|---------------|---------------------------|--------------------------------------|
| 1       | Breast                  | Breast        | Breast                    | No                                   |
| 2       | Breast, Lung            | Lung          | Breast                    | No                                   |
| 3       | Lung                    | Lung          | Breast                    | Yes                                  |
| 4       | Lung, Pancreas, Gastric | Lung          | Breast                    | Yes                                  |
| 5       | Lung, Breast            | Lung          | Ovary                     | Yes                                  |
| 6       | Lung, Breast, Ovary     | Lung          | Ovary                     | Yes                                  |
| 7       | Lung, Ovary, Breast     | Lung          | Ovary                     | Yes                                  |
| 8       | Lung, Pancreas          | Lung          | Ovary                     | Yes                                  |
| 9       | Colorectal              | Colorectal    | Intestine                 | No                                   |
| 10      | Colorectal              | Colorectal    | Intestine                 | No                                   |
| 11      | NSCLC                   | Lung          | NSCLC                     | No                                   |
| 12      | Lung, Head/Neck         | Lung          | NSCLC                     | No                                   |
| 13      | NSCLC                   | Lung          | NSCLC                     | No                                   |
| 14      | Lung, Renal, Pancreas   | Lung          | Gastric                   | Yes                                  |
| 15      | Unknown                 | None          | Melanoma                  | Yes                                  |

**Results from the 92-gene molecular assay had the potential to change treatment in 53% of CUP cases**



# A Phase II Study of Chemotherapy Treatment Based on Molecular Profiling Diagnosis for Patients with CUP



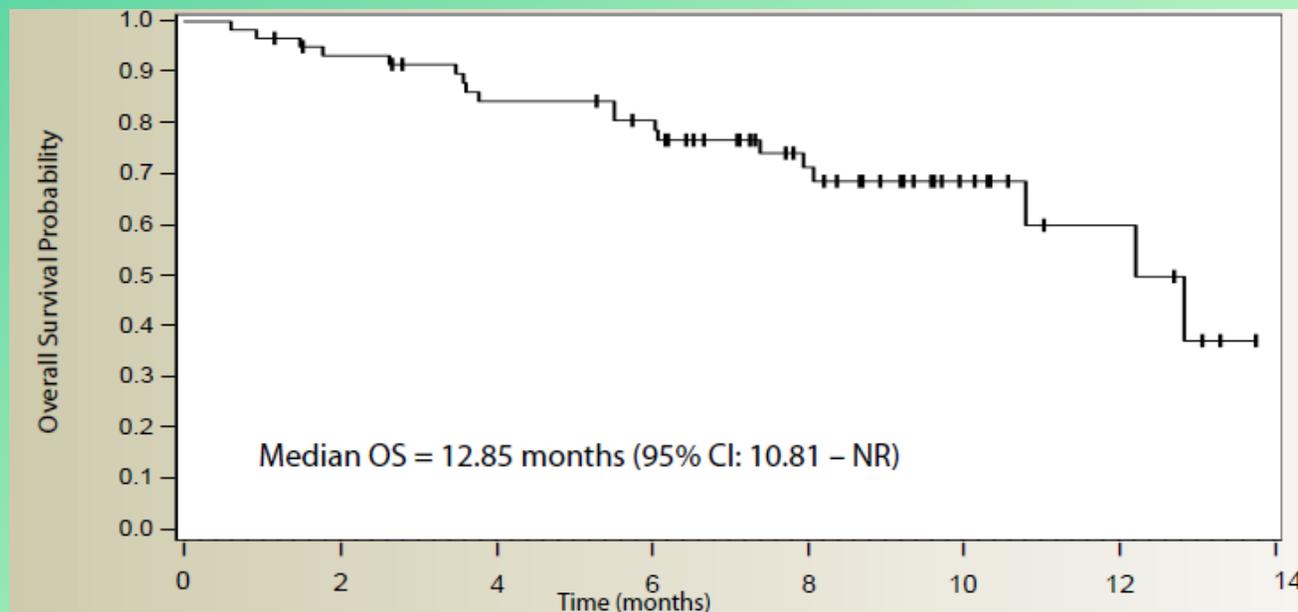
## First-Line Treatments Administered for Specific Assay Diagnoses

| Diagnosis                  | Treatment                                     |
|----------------------------|---|
| Non-small cell lung cancer | Platinum-based doublet +/- bevacizumab        |
| Breast ca                  | Paclitaxel/bevacizumab                        |
| Ovarian cancer             | Paclitaxel/carboplatin +/- bevacizumab        |
| Pancreas cancer            | Gemcitabine/erlotinib                         |
| Colorectal cancer          | FOLFOX (or FOLFIRI) + bevacizumab             |
| Renal cell carcinoma       | Sunitinib or bevacizumab                      |
| Other specific diagnoses   | Standard first-line treatment per treating MD |



# Interim Results

- Current regimens for CUP patients have a median survival of 7- 11 months
- CTID provided a prediction in 98 of 110 patients (89%)
- 61 patients received assay directed therapy



Hainsworth J et al. *J Clin Oncol* 2010; 28 (15 suppl): Abstract 10540.



# The Current State of the Unknown Primary and Genetic Variance

- A number of competing technologies are being developed to look at a variety of ways of comparing sequences in RNA versus an established library of tumors
- The winning technology has not yet emerged
- All of the technologies represent an advance over what was previously available



# Summary

- In the last forty years cancer diagnostics has advanced beyond morphologic analysis
- The understanding of the relationship between abnormal structure and function has progressed rapidly
- Therapies designed to exploit the differences between normal and abnormal structure have advanced in number and sophistication
- All the current advances in Medical Oncology are coming in the area of “targeted” therapy with few new all-purpose chemotherapy drugs coming on line in the last few years
- As our understanding of structure and function of normal versus abnormal becomes more advanced, cancer therapy will progress accordingly

